

# **Bounding the identifying code number of a graph using its degree parameters**

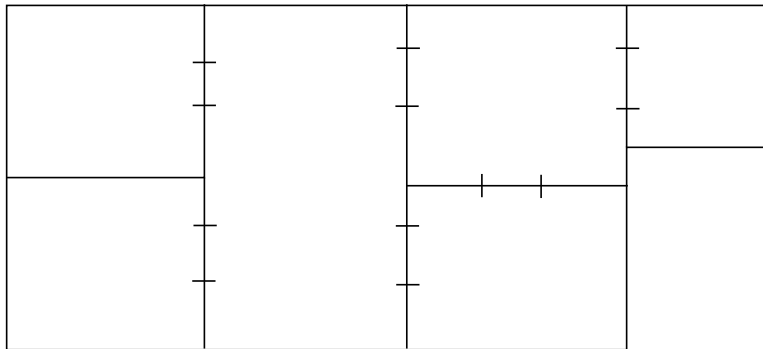
(a probabilistic approach)

Florent Foucaud (LaBRI)

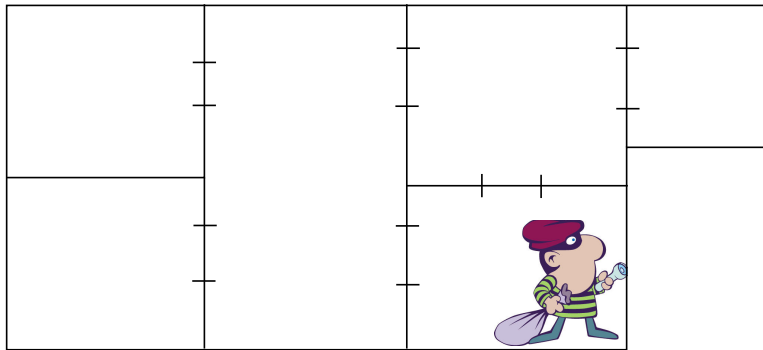
GT probas - January 9th, 2012

joint work with **Guillem Perarnau** (UPC, Barcelona)

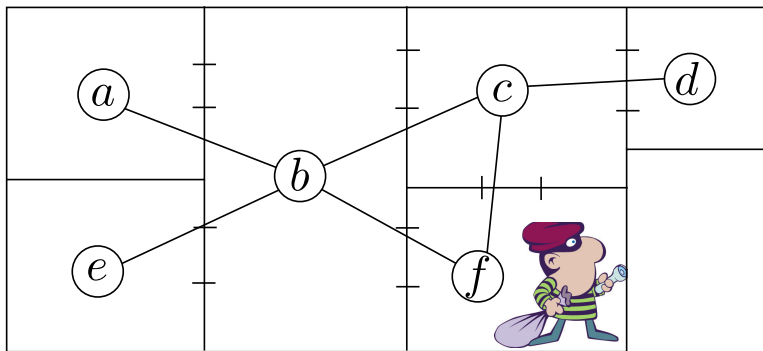
# Locating a burglar in a museum



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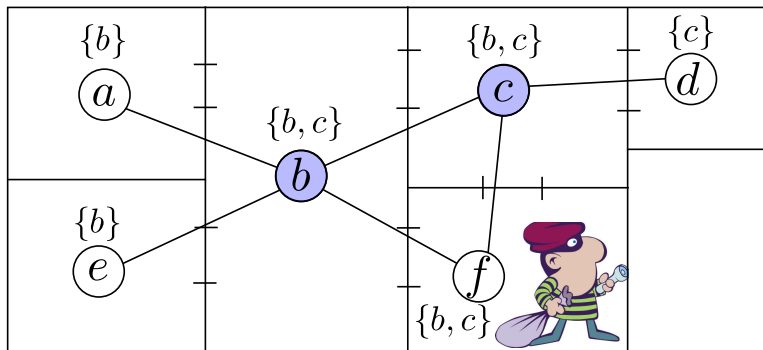


## Locating a burglar in a museum



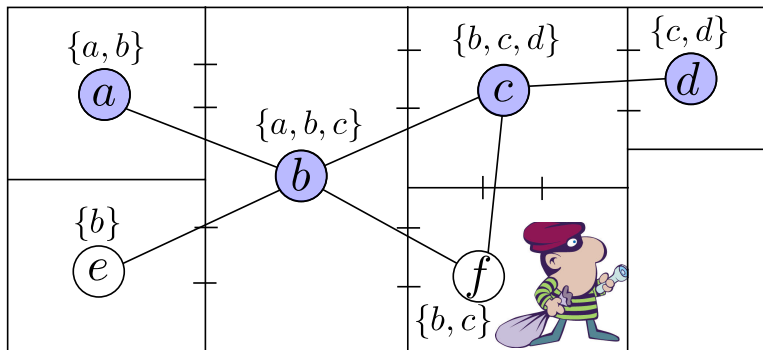
Graph  $G = (V, E)$ .  $V$ : vertices (rooms),  $E \subseteq V \times V$ : edges (doors)

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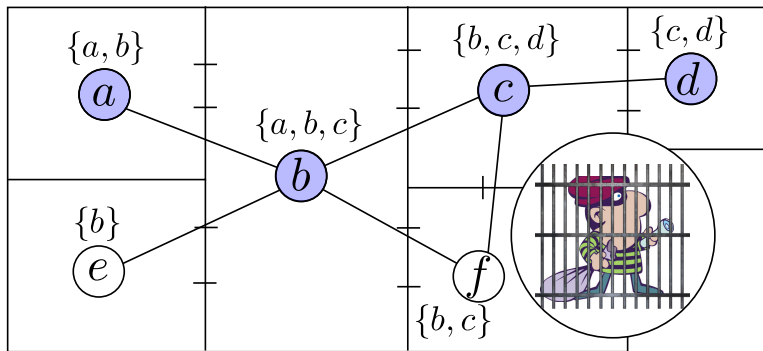
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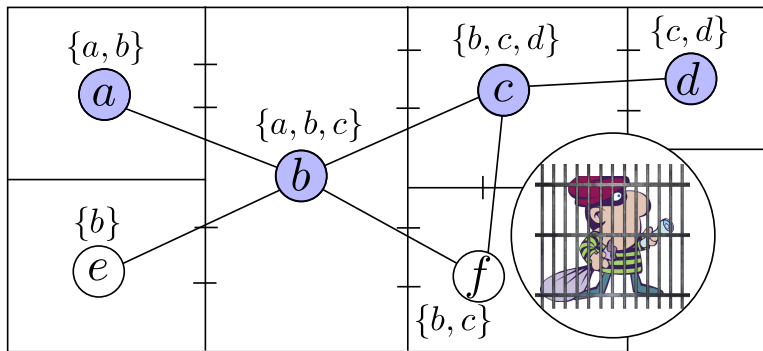
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# Locating a burglar in a museum



How many **detectors** do we need?



Let  $N[u]$  be the set of vertices  $v$  s.t.  $d(u, v) \leq 1$

**Definition** - Identifying code of  $G$  (Karpovsky, Chakrabarty, Levitin, 1998)

Subset  $C$  of  $V$  such that:

- $C$  is a **dominating set** in  $G$ :  $\forall u \in V, N[u] \cap C \neq \emptyset$ , and
- $C$  is a **separating code** in  $G$ :  $\forall u \neq v$  of  $V, N[u] \cap C \neq N[v] \cap C$   
Equivalently:  $(N[u] \Delta N[v]) \cap C \neq \emptyset$  (covering symmetric differences)

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**Notation** - Identifying code number

$\gamma^{\text{ID}}(G)$ : minimum cardinality of an identifying code of  $G$

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**Proposition**

$C$  is an identifying code IFF:

- $C$  is a **dominating set** in  $G$
- $\forall u \neq v$  of  $V$  **with**  $d_G(u, v) \leq 2, (N[u] \Delta N[v]) \cap C \neq \emptyset$

Let  $N[u]$  be the set of vertices  $v$  s.t.  $d(u, v) \leq 1$

## Remark

Not all graphs have an identifying code!

Twins = pair  $u, v$  such that  $N[u] = N[v]$ .

A graph is **identifiable** iff it is **twin-free** (i.e. it has no twins).

# Identifiable graphs

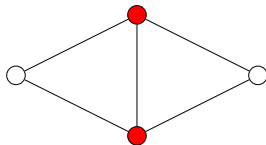
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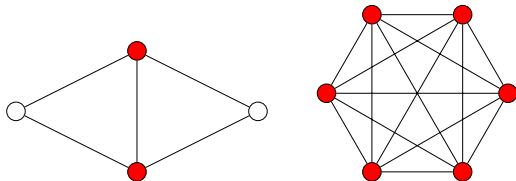
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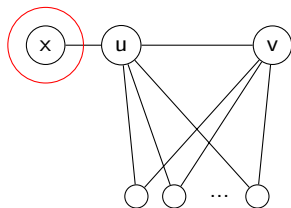
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$u, v$  such that  $N[v] \Delta N[u] = \{x\}$

Then  $x \in C$ , forced by  $uv$ .



## Notation

Let  $NF(G)$  be the proportion of **non** forced vertices of  $G$

$$NF(G) = \frac{\# \text{non-forced vertices in } G}{\# \text{vertices in } G}$$

Graph  $G = (V, E)$ , vertex  $v \in V$ .

- **degree** of  $v$ : number of edges it is incident to
- **maximum degree**  $d$  of  $G$ : max. degree of a vertex in  $G$
- **$d$ -regular graph**: all vertices have degree  $d$



**Theorem** (Karpovsky, Chakrabarty, Levitin, 1998 + Gravier, Moncel, 2007)

Let  $G$  be an identifiable graph with at least one edge, then

$$\lceil \log_2(n+1) \rceil \leq \gamma^{\text{ID}}(G) \leq n-1$$

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**Conjecture** (F., Klasing, Kosowski, Raspaud, 2009+)

Let  $G$  be a connected nontrivial identifiable graph of max. degree  $d$ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{d} + c \text{ for some constant } c$$

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This would be tight. True for  $d = 2$  ( $c = 3/2$ ) and  $d = n - 1$  ( $c = 1$ ).

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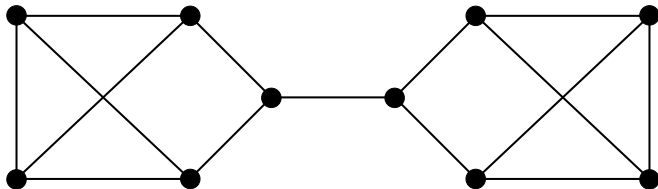
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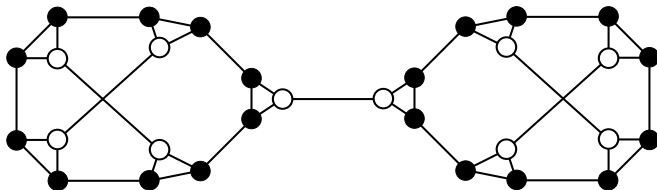
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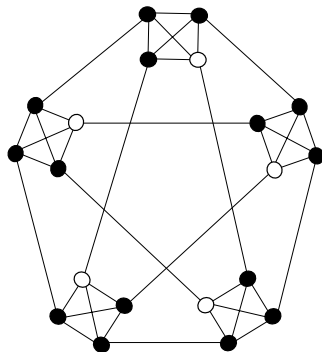
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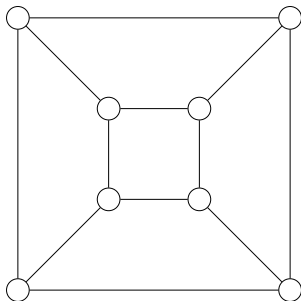




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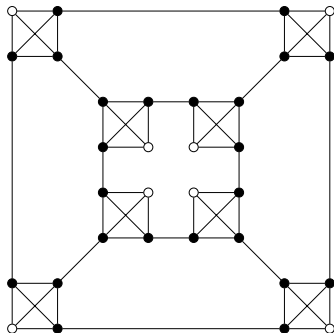
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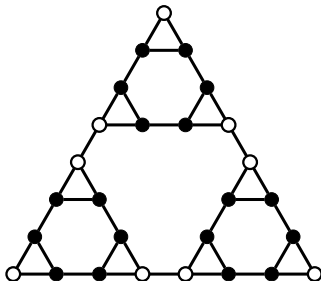
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Also: Sierpiński graphs

(see A. Parreau, S. Gravier, M. Kovše, M. Mollard and J. Moncel, 2011+)



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**Question**

Can we prove that  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(d)}$ ?

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**Theorem** (F., Guerrini, Kovse, Naserasr, Parreau, Valicov, 2011)

Let  $G$  be a connected identifiable graph of maximum degree  $d$ . Then

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If  $G$  has no forced vertices,  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(d^3)}$

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### Theorem (F., Klasing, Kosowski, Raspaud, 2009+)

Let  $G$  be a connected identifiable **triangle-free** graph of max. degree  $d$ . Then

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{d(1+o_d(1))}$$

If  $G$  is **bipartite**,  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{d+9}$

Technique initiated, among others, by Pál Erdős  
used mainly in combinatorics (Ramsey theory, graph theory, ...)

- 1 Define a suitable **probability space**
- 2 Select some object from this space **using randomness**
- 3 Prove that with **nonzero probability**, certain "good" conditions hold
- 4 Conclusion: there **always exists** a "good" object

Classic reference: Noga Alon and Joel Spencer, *The probabilistic method*

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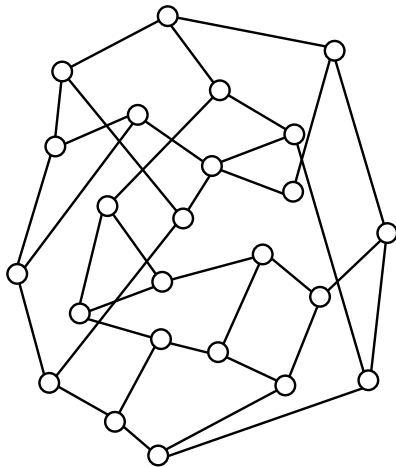
## Theorem (F., Perarnau, 2011+)

There exists an integer  $d_0$  such that for each identifiable graph  $G$  on  $n$  vertices having maximum degree  $d \geq d_0$  and no isolated vertices,

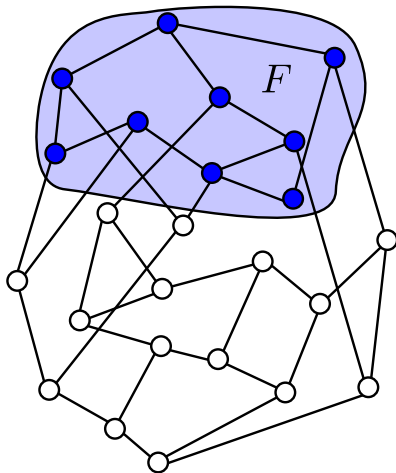
$$\gamma^{\text{ID}}(G) \leq n - \frac{n \cdot NF(G)^2}{85d}$$



Proof - select a set at random...

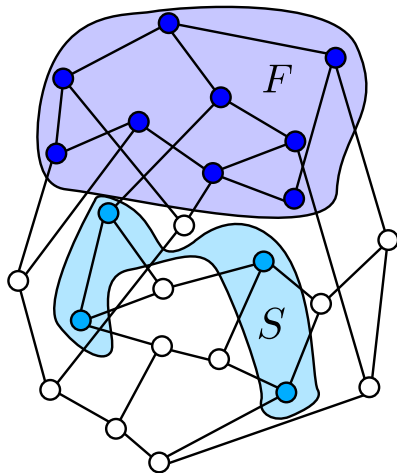


- $F$ : forced vertices

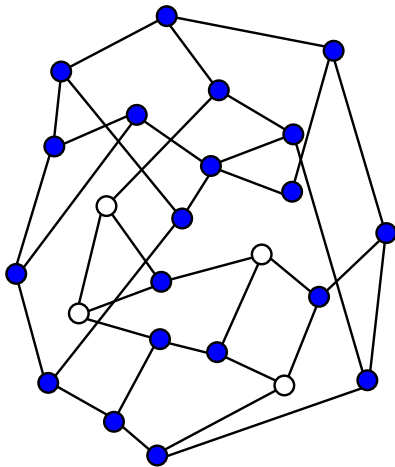


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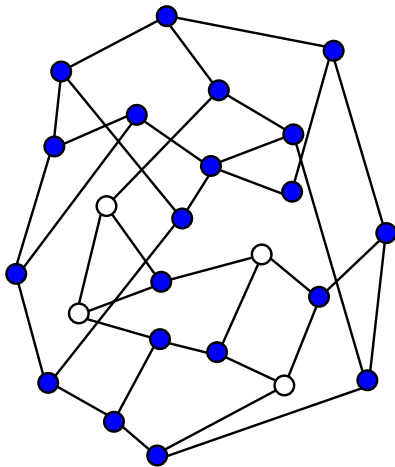
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**Theorem** (Weighted Local Lemma: particular case of the Local Lemma Erdős, Lovász, 1973 - Molloy, Reed, 2001<sup>1</sup>)

Let  $0 < p \leq \frac{1}{4}$  and  $\mathcal{E} = \{E_1, \dots, E_M\}$  be a set of “bad” events such that each  $E_i$  is mutually independent of  $\mathcal{E} \setminus (\mathcal{D}_i \cup \{E_i\})$  where  $\mathcal{D}_i \subseteq \mathcal{E}$ , and

- $Pr(E_i) \leq p^{t_i}$
- $\sum_{E_j \in \mathcal{D}_i} (2p)^{t_j} \leq \frac{t_i}{2}$

Then  $Pr\left(\bigcap_{i=1}^M \bar{E}_i\right) \geq \prod_{i=1}^M (1 - (2p)^{t_i}) \geq \exp\left\{-2 \log 2 \sum_{i=1}^m (2p)^{t_i}\right\} > 0.$

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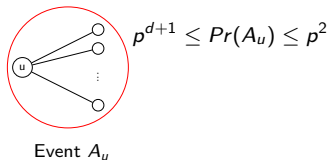
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⇒ If the dependencies are “rare”:

with non-zero probability none of the bad events occur

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## Set the bad events...

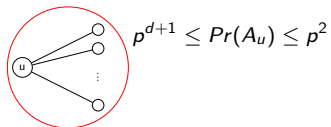


**Size**  $t_i$  of an event  $E_i$ : number of vertices circled in red

Event of type  $T^j$  ( $T \in \{A, B, D\}$ ): event of type  $T$  with size  $j$ .

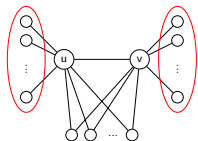


## Set the bad events...



$$p^{d+1} \leq Pr(A_u) \leq p^2$$

Event  $A_u$



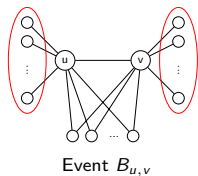
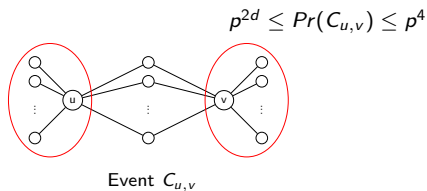
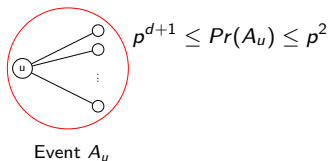
Event  $B_{u,v}$

$$p^{2d-2} \leq Pr(B_{u,v}) \leq p^2$$

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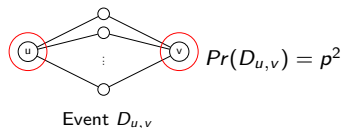
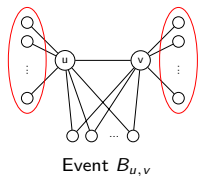
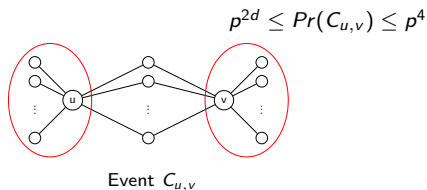
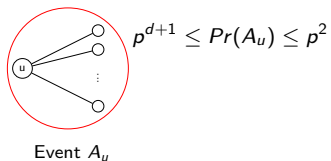


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# Set the bad events...



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## Theorem (Weighted Local Lemma)

Bad events:  $\mathcal{E} = \{E_1, \dots, E_M\}$ .

- $Pr(E_i) \leq p^{t_i}$
- $\sum_{E_j \in D_i} (2p)^{t_j} \leq \frac{t_i}{2}$

$$\text{Then } Pr\left(\bigcap_{i=1}^M \bar{E}_i\right) \geq \prod_{i=1}^M (1 - (2p)^{t_i}) > 0.$$

Compute “intersection” between events (on board)

Taking  $p = \frac{1}{kd} \implies$  LLL can be applied

By the LLL we know that

*There exists some set  $S$  with  $\mathbb{E}(|S|) = \frac{nNF(G)}{k \cdot d}$  such that no bad event occurs*

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But by the LLL we know more:

$$\Pr \left( \bigcap_{i=1}^m \overline{E_i} \right) > \exp \left\{ -2 \log 2 \sum_{i=1}^m (2p)^{t_i} \right\}$$

## Proof (regular case) - the set can be small...

By the LLL we know that

*There exists some set  $S$  with  $\mathbb{E}(|S|) = \frac{nNF(G)}{k \cdot d}$  such that no bad event occurs*

And we also know: if  $S = \emptyset$ ,  $\mathcal{C} = V \setminus S = V$  is a trivial code!

But by the LLL we know more:

$$\Pr \left( \bigcap_{i=1}^m \overline{E_i} \right) > \exp \left\{ -\frac{17 \log 2}{2k^2 d} n \right\}$$

The probability to have a **good** set  $S$  is at least  $\exp \left\{ -\frac{17 \log 2}{2k^2 d} n \right\}$



### Theorem (Chernoff bound)

Let  $X_1, \dots, X_m$  a set of i.i.d random variables s.t.  $\Pr(X_i = 1) = p$  and  $\Pr(X_i = 0) = 1 - p$  and  $X = \sum X_i$ . Then

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For each  $v_i \in V \setminus F$  define the random variable:

$$X_i = \begin{cases} 1 & \text{if } v_i \in C \\ 0 & \text{otherwise} \end{cases}$$

Then, we set  $\alpha = \frac{nNF(G)}{cd}$ . Using  $mp = \frac{nNF(G)}{kd}$ :

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Probability that  $S$  is **too small**: at most  $\exp\left\{-\frac{kNF(G)}{2c^2d}n\right\}$

$$\Pr(S \text{ good}) - \Pr(S \text{ too small}) > 0$$

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$$|C| = |V \setminus S| \leq n - \frac{nNF(G)^2}{85d}$$

### Proposition

Let  $NF(G)$  be the proportion of **non** forced vertices of  $G$ . Then

$$\frac{1}{d+1} \leq NF(G) \leq 1$$

This result is tight for a graph of max. degree  $d = n - 1$ .

### Lemma Bertrand, Hudry, 2005

Let  $G$  be an identifiable graph having no isolated vertices. Let  $x$  be a vertex of  $G$ . There exists a **non forced vertex**  $y$  in  $N[x]$ .

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### Corollary

The set  $S$  of non-forced vertices forms a dominating set. Hence  $|S| \geq \frac{n}{d+1}$ .



clique number of  $G$ : max. size of a complete subgraph in  $G$

### Proposition

Let  $G$  be a graph of clique number at most  $k$ . There exists a function  $c$  such that:

$$\frac{1}{c(k)} \leq NF(G) \leq 1$$

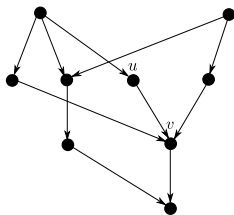
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## Proposition

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- Define graph  $\vec{H}(G)$
- Max. degree of  $\vec{H}(G)$ :  $2k - 3$
- Longest directed chain of  $\vec{H}(G)$ :  $k - 1$
- Each component has a non-forced vertex
- $\Rightarrow c(k) \leq \sum_{i=0}^{k-2} (2k - 3)^i$



$$u \rightarrow v \Leftrightarrow N[v] = N[u] \cup \{x\}$$

## Theorem (F., Perarnau, 2011+)

There exists an integer  $d_0$  such that for each identifiable graph  $G$  on  $n$  vertices having maximum degree  $d \geq d_0$  and no isolated vertices,

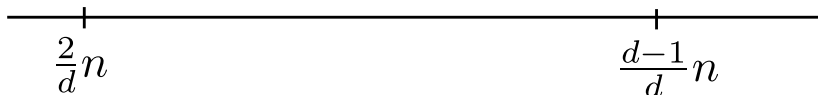
$$\gamma^{\text{ID}}(G) \leq n - \frac{n \cdot NF(G)^2}{85d}$$

## Corollary

- In general,  $NF(G) \geq \frac{1}{d+1}$  and  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(d^3)}$
- If  $G$  is  $d$ -regular,  $NF(G) = 1$  and  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{85d}$ .
- If  $G$  has clique number bounded by  $k$ ,  $NF(G) \geq \frac{1}{c(k)}$  and  $\gamma^{\text{ID}}(G) \leq n - \frac{n}{\Theta(d)}$ .

## Where are most of the $d$ -regular graphs?

Let  $G$  be a  $d$ -regular graph.

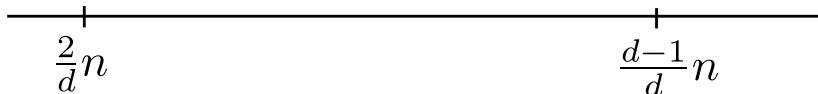


$$\gamma^{\text{ID}}(G) \geq \frac{2n}{d+2} \quad \text{Karpovsky et al. (1998)}$$

$$\gamma^{\text{ID}}(G) \leq n - \frac{n}{d} + c \quad \text{Conjecture (2009)}$$

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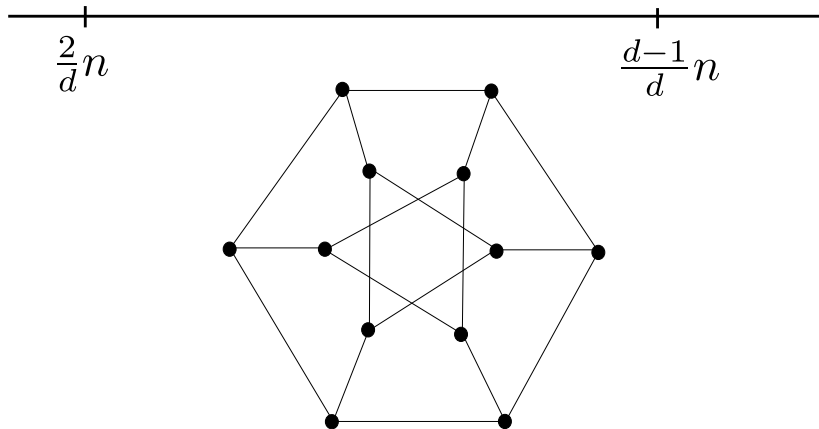


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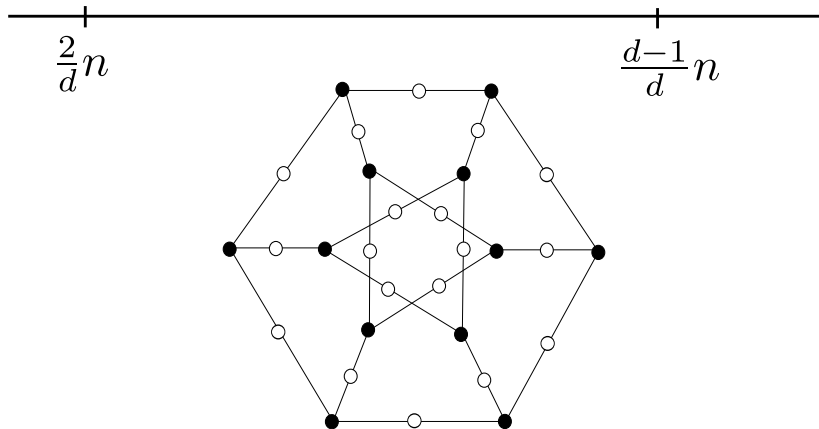
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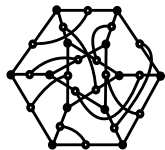
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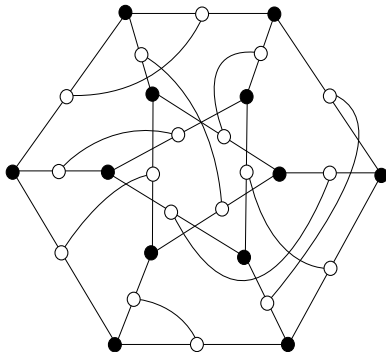
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$$\frac{2}{d}n$$

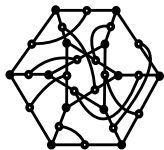
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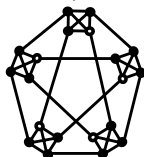


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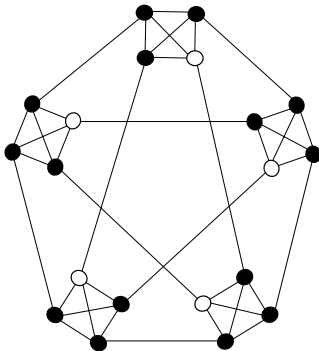
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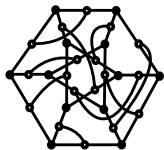


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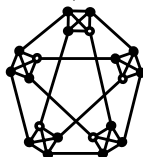


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Answer : next week !